

\*FORM PTO-1390  
REV. 5-93.

US DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTORNEYS DOCKET NUMBER  
**P99,1762**

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

**09/423501**

INTERNATIONAL APPLICATION NO.  
**PCT/DE98/01290**

INTERNATIONAL FILING DATE  
**08 May 1998**

PRIORITY DATE CLAIMED  
**09 May 1997**

TITLE OF INVENTION

**METHOD AND SYSTEM FOR ADMINISTERING PERFORMANCE FEATURES FOR TELEPHONE SUBSCRIBERS**

APPLICANT(S) FOR DO/EO/US

**Joachim Ungruh et al.**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay.
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2))
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). **EXECUTED**
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (PTO 1449, Prior Art, Search Report)
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.  
(See attached envelope)
13. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
  - a. ☒ Submission of Drawings - Fig. 1 on one sheet
  - b. ☒ EXPRESS MAIL #EL412789238US dated 11-08-99

17. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):**

Search Report has been prepared by the EPO or JPO ..... \$840.00

International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) .. \$720.00

No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but  
international search fee paid to USPTO (37 C.F.R. 1.445(a)(2)) ..... \$790.00Neither international preliminary examination fee (37 C.F.R. 1.482) nor international  
search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO ..... \$1070.00International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all  
claims satisfied provisions of PCT Article 33(2)-(4) ..... \$ 98.00**ENTER APPROPRIATE BASIC FEE AMOUNT =**

CALCULATIONS

PTO USE ONLY

\$ 840.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months  
from the earliest claimed priority date (37 C.F.R. 1.492(e)).

\$

Claims

Number Filed

Number  
Extra

Rate

Total Claims

10

- 20 =

0

X \$ 18.00

\$

Independent Claims

2

- 3 =

0

X \$ 78.00

\$

Multiple Dependent Claims

\$260.00 +

\$

**TOTAL OF ABOVE CALCULATIONS =**

\$840.00

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also  
be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28)

\$

**SUBTOTAL =**

\$ 840.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months  
from the earliest claimed priority date (37 CFR 1.492(f)).

\$

+

**TOTAL NATIONAL FEE =**

\$ 840.00

Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be  
accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property

+

**TOTAL FEES ENCLOSED =**

\$ 840.00

Amount to be  
refunded

\$

charged

\$

- a. ☒ A check in the amount of \$ 840.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 08-2290. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be  
filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Hill & Simpson  
A Professional Corporation  
85th Floor Sears Tower  
Chicago, Illinois 60606

SIGNATURE

William E. Vaughan

NAME

39,056

Registration Number

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Mailing Label Number EL412789238US

Date of Deposit: November 8, 1999

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10(c) on the date indicated above and is addressed to:

BOX PCT

Assistant Commissioner for Patents  
Washington DC 20231

Case Number: P99,1762

Applicant: Joachim Ungruh et al.

International Application No. PCT/DE98/01290

International Filing Date 08 May 1998

Priority Date Claimed 09 May 1997

Title: METHOD AND SYSTEM FOR ADMINISTERING PERFORMANCE  
FOR TELEPHONE SUBSCRIBERS

## Enclosed are the following documents:

PTO 1390 in duplicate;

International application as filed; drawings

English Translation;

Information Disclosure Statement, PTO 1449, Search  
Report, references

Preliminary Amendment;

Submission of Drawings FIG. 1 on one sheet  
executed declaration

filing fee \$840.00

Postcard.

(See Attached envelope for executed  
assignment and fee)

Signature of person mailing documents and fees

BOX PCT

IN THE UNITED STATES ELECTED OFFICE  
OF THE UNITED STATES PATENT AND TRADEMARK OFFICE  
UNDER THE PATENT COOPERATION TREATY-CHAPTER II

5 APPLICANTS: Joachim Ungruh et al. DOCKET NO: P99,1762  
SERIAL NO: GROUP ART UNIT:  
EXAMINER:

INTERNATIONAL APPLICATION NO: PCT/DE98/01290

INTERNATIONAL FILING DATE: 08 May 1998

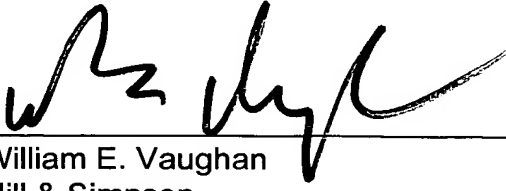
10 INVENTION: **METHOD AND SYSTEM FOR ADMINISTERING  
PERFORMANCE FEATURES FOR TELEPHONE  
SUBSCRIBERS**

Assistant Commissioner for Patents,  
Washington, D.C. 20231

15 **SUBMISSION OF DRAWINGS**

Applicants herewith submit one sheet (FIG. 1) of drawings for the  
above-referenced PCT application.

Respectfully submitted,

20  (Reg.No. 39,056)  
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Hill & Simpson  
A Professional Corporation  
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Attorneys for Applicants